船上應備之證書及文件清單須知



LIST OF CERTIFICATES AND DOCUMENTS REQUIRED TO BE CARRIED ON BOARD SHIPS

Administrative Notice NO. 1 of 2023 2023 年 9 月 6 日航舶字第 1121710765 號文

須知對象:航運公司、船上人員、認可機構及本局船舶檢查人員

- 一、國際海事組織(IMO)便利委員會第46次會議、海洋環境保護委員會第78次會議、海事安全委員會第105次會議和法律委員會第109次會議批准2022年船上應備之證書及文件清單(參考 IMO 2022 年 6 月 27 日發布之FAL.2/Circ.133-MEPC.1/Circ.902-MSC.1/Circ.1646-LEG.2/Circ.4,如附件,下稱本清單)。
- 二、本項作業依據 FAL 公約之附件第 2 節,主管機關要求船東辦理船舶抵港、停留和離港程序之規定進行;並仍應視各國主管機關要求出示船舶相關之登記、丈量、安全、船員配額、入級證書和其他有關文件以供檢查。
- 三、本清單僅列出 IMO 準法律文件要求之證書和文件,尚不包括其他國際組織或各國主管機關要求之證書或文件,另因 IMO 持續進行滾動更新,本清單發布之相關文書內容,應依 IMO 相關更新規定為主。
- 四、本清單僅為彙整性質,執行港口國管制檢查時,仍應依據相關公約或文件之要求辦理。

附件

船上應備之證書及文件清單須知

LIST OF CERTIFICATES AND DOCUMENTS REQUIRED TO BE CARRIED ON BOARD SHIPS

*所有隨船攜帶的文書須為有效,並應符合相對應之國際公約或文件要求的格式

No. 項次	Contents 內容	Reference 参考條文依據
1	All ships to which the referenced convention applies	
1.1	International Tonnage Certificate (1969)	Tonnage 1969,
	An International Tonnage Certificate (1969) shall be issued to	article 7
	every ship, the gross and net tonnage of which have been	
	determined in accordance with the Convention.	
1.2	International Load Line Certificate	LL 1966,
	An International Load Line Certificate shall be issued under	article 16;
	the provisions of the International Convention on Load Lines,	LL PROT 1988,
	1966, to every ship which has been surveyed and marked in	article 16
	accordance with the Convention or the Convention as modified	
	by the 1988 LL Protocol, as appropriate.	
1.3	International Load Line Exemption Certificate	LL 1966,
	An International Load Line Exemption Certificate shall be	article 16;
	issued to any ship to which an exemption has been granted	LL PROT 1988,
	under and in accordance with article 6 of the Load Line	article 16
	Convention or the Convention as modified by the 1988 LL	
	Protocol, as appropriate.	
1.4	Exemption Certificate*	SOLAS 1974,
	When an exemption is granted to a ship under and in	regulation I/12;
	accordance with the provisions of SOLAS 1974, a certificate	SOLAS PROT 1988,
	called an Exemption Certificate shall be issued in addition to	regulation I/12
	the certificates listed above.	
	*SLS.14/Circ.115, Add.1, Add.2 and Add.3 refer to the issue of exemption certificate	
1.5	Coating Technical File	SOLAS 1974,
	A Coating Technical File, containing specifications of the	regulation II-1/3-2 and
	coating system applied, where applicable, to dedicated	II-1/3-11;
	seawater ballast tanks in all types of ships and double-side skin	resolution MSC.215(82),
	spaces of bulk carriers of 150 m in length and upwards and	as amended by
	cargo oil tanks of crude oil tankers, record of the shipyard's and	resolution MSC.341(91)

No. 項次	Contents 內容	Reference 参考條文依據
	shipowner's coating work, detailed criteria for coating sections,	and MSC.1/Circ.1381;
	job specifications, inspection, maintenance and repair, shall be	resolution MSC.288(87),
	kept on board and maintained throughout the life of the ship.	as modified by circular
		MSC.1/Circ.1381 and
		amended by resolution
		MSC.342(91)
1.6	Emergency Towing Procedure	SOLAS,
	All ships shall be provided with a ship-specific emergency	regulation II-1/3-4;
	towing procedure. Such a procedure shall be carried on board	MSC.1/Circ.1255
	the ship for use in emergency situations and shall be developed	
	based on the guidelines developed by the Organization.	
1.7	Construction drawings	SOLAS 1974,
	A set of as-built construction drawings and other plans	regulation II-1/3-7;
	showing any subsequent structural alterations shall be kept on	MSC/Circ.1135
	board a ship constructed on or after 1 January 2007.	
1.8	Ship Construction File	SOLAS 1974,
	A Ship Construction File with specific information should be	regulation II-1/3-10;
	kept on board oil tankers of 150 m in length and above and	MSC.1/Circ.1343
	bulk carriers of 150 m in length and above, constructed with	
	single deck, top-side tanks and hopper side tanks in cargo	
	spaces, excluding ore carriers and combination carriers:	
	.1 for which the building contract is placed on or after 1 July 2016;	
	.2 in the absence of a building contract, the keels of which are	
	laid or which are at a similar stage of construction on or after	
	1 July 2017; or	
	.3 the delivery of which is on or after 1 July 2020 shall carry a	
	Ship Construction File containing information in accordance	
	with regulations and guidelines and updated as appropriate	
	throughout the ship's life in order to facilitate safe operation,	
	maintenance, survey, repair and emergency measures.	
1.9	Noise Survey Report	SOLAS 1974,
	Applicable to new ships of 1,600 gross tonnage and above,	regulation II-1/3-12;
	excluding dynamically supported crafts, high-speed crafts,	Noise Code, section 4.3
	fishing vessels, pipe-laying barges, crane barges, mobile	
	offshore drilling units, pleasure yachts not engaged in trade,	

No. 項次	Contents 內容	Reference 参考條文依據
	ships of war and troopships, ships not propelled by mechanical	
	means, pile driving vessels and dredgers.	
	A noise survey report shall always be carried on board and be	
	accessible for the crew.	
	For existing ships, refer to section "Other certificates and	
	documents which are not mandatory - Noise Survey Report"	
	(resolution A.468(XII).	
1.10	Stability information and loading information	SOLAS 1974,
	Every passenger ship regardless of size and every cargo ship	regulations II-1/5 and II-
	of 24 m and over shall be inclined on completion and the	1/5-1;
	elements of their stability determined. The master shall be	LL 1966, regulation 10;
	supplied with stability information to the satisfaction of the	LL Protocol 1988,
	Administration as is necessary to enable him, by rapid and	regulation 10
	simple procedures, to obtain accurate guidance as to the	
	stability of the ship under varying conditions of service to	
	maintain the required intact stability and stability after damage.	
	For ships constructed from 1 January 2010, the intact and	
	damage stability information required by SOLAS regulation II-	
	1/5-1 shall be presented as consolidated data and encompass	
	the full operating range of draught and trim. The stability	
	information, and loading information related to ship strength	
	when required under regulation 10 of LL Protocol 1988, shall	
	also be carried on board at all times, together with evidence	
	that the information has been approved by the Administration.	
	For bulk carriers, the information required in a bulk carrier	
	booklet may be contained in the stability information.	
1.11	Damage control plans and booklets	SOLAS 1974,
	On passenger and cargo ships, there shall be permanently	regulation II-1/19;
	exhibited plans showing clearly for each deck and hold the	MSC.1/Circ.1245, as
	boundaries of the watertight compartments, the openings	amended by
	therein with the means of closure and position of any controls	MSC.1/Circ.1570
	thereof, and the arrangements for the correction of any list due	
	to flooding. Booklets containing the aforementioned	
	information shall be made available to the officers of the ship.	
1.12	Manoeuvring booklet	SOLAS 1974,
	The stopping times, ship headings and distances recorded on	regulation II-1/28

No. 項次	Contents 內容	Reference 参考條文依據
	trials, together with the results of trials to determine the ability	
	of ships having multiple propellers to navigate and manoeuvre	
	with one or more propellers inoperative, shall be available on	
	board for the use of the master or designated personnel.	
1.13	Evaluation of the alternative design and arrangements	SOLAS 1974,
	Where applicable, a copy of the documentation, as approved	regulations II-1/55.4.2,
	by the Administration, indicating that the alternative design	II-2/17.4.2, and
	and arrangements comply with this regulation, shall be carried	III/38.4.2
	on board the ship.	
1.14	Maintenance plans	SOLAS 1974,
	The maintenance plan shall include the necessary information	regulations II-2/14.2.2,
	about fire protection systems and fire-fighting systems and	II-2/14.3 and II-2/14.4
	appliances as required by regulation II-2/14.2.2. For tankers,	
	additional requirements are referred to in regulation II-2/14.4.	
	For passenger ships carrying more than 36 passengers, the	
	maintenance plan should include low-location lighting and	
	public address system as required by SOLAS regulation II-	
	2/14.3.	
1.15	Onboard training and drills record	SOLAS 1974,
	Fire drills shall be conducted and recorded in accordance with	regulation II-2/15.2.2.5
	the provisions of regulations III/19.3 and III/19.5.	
1.16	Fire safety training manual	SOLAS 1974,
	A training manual shall be written in the working language of	regulation II-2/15.2.3
	the ship and shall be provided in each crew mess room and	
	recreation room or in each crew cabin. The manual shall	
	contain the instructions and information required in regulation	
	II-2/15.2.3.4. Part of such information may be provided in the	
	form of audiovisual aids in lieu of the manual.	
1.17	Fire control plan/booklet	SOLAS 1974,
	General arrangement plans shall be permanently exhibited for	regulations II-2/15.2.4
	the guidance of the ship's officers, showing clearly for each	and II-2/15.3.2
	deck the control stations, the various fire sections together with	
	particulars of the fire detection and fire alarm systems and the	
	fire-extinguishing appliances, etc. Alternatively, at the	
	discretion of the Administration, the aforementioned details	
	may be set out in a booklet, a copy of which shall be supplied	

No. 項次	Contents 內容	Reference 参考條文依據
	to each officer, and one copy shall at all times be available on	
	board in an accessible position. Plans and booklets shall be	
	kept up to date; any alterations shall be recorded as soon as	
	practicable. A duplicate set of fire-control plans or a booklet	
	containing such plans shall be permanently stored in a	
	prominently marked weathertight enclosure outside the	
	deckhouse for the assistance of shoreside fire-fighting	
	personnel.	
1.18	Fire safety operational booklet	SOLAS 1974,
	The fire safety operational booklet shall contain the necessary	regulation II-2/16.2
	information and instructions for the safe operation of the ship	
	and cargo handling operations in relation to fire safety. The	
	booklet shall be written in the working language of the ship	
	and be provided in each crew mess room and recreation room	
	or in each crew cabin. The booklet may be combined with the	
	fire safety training manuals required in regulation II-2/15.2.3.	
1.19	Operations manual for helicopter facility	SOLAS 1974,
	Each helicopter facility, if fitted, shall have an operations	regulation II-2/18.8.1
	manual, including a description and a checklist of safety	
	precautions, procedures and equipment requirements. This	
	manual may be part of the ship's emergency response	
	procedures.	
1.20	Statement of acceptance of the installation of replacement	SOLAS 1974,
	release and retrieval system to an existing lifeboat	regulation III/1.5;
	For all ships, no later than the first scheduled dry-docking after	LSA Code,
	1 July 2014, but no later than 1 July 2019, lifeboat on-load	paragraph 4.4.7.6;
	release mechanisms not complying with paragraphs 4.4.7.6.4	MSC.1/Circ.1392 and
	to 4.4.7.6.6 of the LSA Code shall be replaced with equipment	Corr.1, as amended by
	that complies with the Code.	MSC.1/Circ.1584
1.21	Muster list and emergency instructions	SOLAS 1974,
	All ships shall be provided with muster list and emergency	regulations III/8 and
	instructions, which shall comply with the requirements of	III/37
	regulation 37 and be exhibited in conspicuous places	
	throughout the ship including the navigation bridge, engine-	
	room and crew accommodation spaces. In the case of	
	passenger ships, these instructions shall be drawn up in the	

No. 項次	Contents 內容	Reference 参考條文依據
	language(s) required by its flag State and in the English	
	language.	
1.22	Ship-specific plans and procedures for recovery of persons	SOLAS 1974 regulation,
	from the water	III/17-1;
	All ships shall have ship-specific plans and procedures for	resolution MSC.346(91);
	recovery of persons from the water. Ships constructed before 1	MSC.1/Circ.1447
	July 2014 shall comply with this requirement by the first	
	periodical or renewal safety equipment survey of the ship to be	
	carried out after 1 July 2014, whichever comes first.	
	Ro-ro passenger ships which comply with regulation III/26.4	
	shall be deemed to comply with this regulation.	
	The plans and procedures should be considered as a part of the	
	emergency preparedness plan required by paragraph 8 of the	
	ISM Code.	
1.23	Training manual	SOLAS 1974,
	The training manual, which may comprise several volumes,	regulation III/35
	shall contain instructions and information, in easily understood	
	terms illustrated wherever possible, on the life-saving	
	appliances provided in the ship and on the best methods of	
	survival. Any part of such information may be provided in the	
	form of audiovisual aids in lieu of the manual.	
1.24	Radio record	SOLAS 1974,
	A record shall be kept, to the satisfaction of the Administration	regulation IV/17
	and as required by the Radio Regulations, of all incidents	
	connected with the radiocommunication service which appear	
	to be of importance to safety of life at sea.	
1.25	Minimum safe manning document	SOLAS 1974,
	Every ship to which chapter I of the Convention applies shall	regulation V/14.2
	be provided with an appropriate safe manning document or	
	equivalent issued by the Administration as evidence of the	
	minimum safe manning.	
1.26	Voyage data recorder system – certificate of compliance	SOLAS 1974, regulation
	The voyage data recorder system, including all sensors, shall	V/18.8
	be subjected to an annual performance test. The test shall be	
	conducted by an approved testing or servicing facility to verify	
	the accuracy, duration and recoverability of the recorded data.	

No. 項次	Contents 內容	Reference 参考條文依據
	In addition, tests and inspections shall be conducted to	
	determine the serviceability of all protective enclosures and	
	devices fitted to aid location. A copy of the certificate of	
	compliance issued by the testing facility, stating the date of	
	compliance and the applicable performance standards, shall be	
	retained on board the ship.	
1.27	AIS test report	SOLAS 1974,
	The automatic identification system (AIS) shall be subjected to	regulation V/18.9;
	an annual test by an approved surveyor or an approved testing	MSC.1/Circ.1252
	or servicing facility. A copy of the test report shall be retained	
	on board and should be in accordance with a model form set	
	out in the annex to MSC.1/Circ.1252.	
1.28	Nautical charts and nautical publications	SOLAS 1974,
	Nautical charts and nautical publications for the intended	regulations V/19.2.1.4
	voyage shall be adequate and up to date. An electronic chart	and V/27
	display and information system (ECDIS) is also accepted as	
	meeting the chart carriage requirements of this sub-paragraph.	
1.29	LRIT conformance test report	SOLAS 1974,
	A conformance test report should be issued, on satisfactory	regulation V/19-1;
	completion of a conformance test, by the Administration or the	MSC.1/Circ.1307
	ASP who conducted the test acting on behalf of the	
	Administration and should be in accordance with the model set	
	out in appendix 2 of MSC.1/Circ.1307.	
1.30	International Code of Signals and a copy of Volume III of	SOLAS 1974,
	IAMSAR Manual	regulation V/21
	All ships required to carry a radio installation shall carry the	
	International Code of Signal; all ships shall carry an up-to-date	
	copy of Volume III of the International Aeronautical and	
	Maritime Search and Rescue (IAMSAR) Manual.	
1.31	Records for pilot ladders used for pilot transfer	SOLAS 1974
	All pilot ladders used for pilot transfer shall be clearly	regulation V/23.2.4
	identified with tags or other permanent marking so as to enable	
	identification of each appliance for the purposes of survey,	
	inspection and record keeping. A record shall be kept on the	
	ship as to the date the identified ladder is placed into service	
	and any repairs effected.	

No. 項次	Contents 內容	Reference 参考條文依據
1.32	Records of navigational activities	SOLAS 1974,
	All ships engaged on international voyages shall keep on board	regulations V/26 and
	a record of navigational activities and incidents including drills	V/28.1
	and pre-departure tests. When such information is not	
	maintained in the ship's logbook, it shall be maintained in	
	another form approved by the Administration.	
1.33	Cargo Securing Manual	SOLAS 1974,
	All cargoes other than solid and liquid bulk cargoes, cargo	regulations VI/5.6 and
	units and cargo transport units, shall be loaded, stowed and	VII/5;
	secured throughout the voyage in accordance with the Cargo	MSC.1/Circ.1353/Rev.2
	Securing Manual approved by the Administration. In ships	
	with ro-ro spaces, as defined in regulation II-2/3.41, all	
	securing of such cargoes, cargo units and cargo transport units,	
	in accordance with the Cargo Securing Manual, shall be	
	completed before the ship leaves the berth. The Cargo Securing	
	Manual is required on all types of ships engaged in the carriage	
	of all cargoes other than solid and liquid bulk cargoes, which	
	shall be drawn up to a standard at least equivalent to the	
	guidelines developed by the Organization.	
1.34	Material safety data sheets (MSDS)	SOLAS 1974,
	Ships carrying oil or oil fuel, as defined in regulation 1 of	regulation VI/5-1;
	annex 1 of the International Convention for the Prevention of	resolution MSC.286(86)
	Pollution from Ships, 1973, as modified by the Protocol of	
	1978 relating thereto, shall be provided with material safety	
	data sheets, based on the recommendations developed by the	
	Organization, prior to the loading of such oil as cargo in bulk	
	or bunkering of oil fuel.	
1.35	Safety Management Certificate	SOLAS 1974,
	A Safety Management Certificate shall be issued to every ship	regulation IX/4;
	by the Administration or an organization recognized by the	ISM Code,
	Administration. The Administration or an organization	paragraph 13
	recognized by it shall, before issuing the Safety Management	
	Certificate, verify that the company and its shipboard	
	management operate in accordance with the approved safety	
	management system.	
1.36	Document of compliance	SOLAS 1974,

No. 項次	Contents 內容	Reference 参考條文依據
	A document of compliance shall be issued to every company	regulation IX/4;
	which complies with the requirements of the ISM Code. A	ISM Code,
	copy of the document shall be kept on board.	paragraph 13
1.37	Continuous Synopsis Record (CSR)	SOLAS 1974,
	Every ship to which chapter I of the Convention applies shall	regulation XI-1/5
	be issued with a Continuous Synopsis Record. The Continuous	
	Synopsis Record provides an onboard record of the history of	
	the ship with respect to the information recorded therein.	
1.38	Ship security plan and associated records	SOLAS 1974,
	Each ship shall carry on board a ship security plan approved by	regulation XI-2/9;
	the Administration. The plan shall make provisions for the	ISPS Code, part A,
	three security levels as defined in part A of the ISPS Code.	sections 9 and 10
	Records of the following activities addressed in the ship	
	security plan shall be kept on board for at least the minimum	
	period specified by the Administration:	
	.1 training, drills and exercises;	
	.2 security threats and security incidents;	
	.3 breaches of security;	
	.4 changes in security level;	
	.5 communications relating to the direct security of the ship	
	such as specific threats to the ship or to port facilities the ship	
	is, or has been, in;	
	.6 internal audits and reviews of security activities;	
	.7 periodic review of the ship security assessment;	
	.8 periodic review of the ship security plan;	
	.9 implementation of any amendments to the plan; and	
	.10 maintenance, calibration and testing of any security	
	equipment provided on board, including testing of the ship	
	security alert system.	
1.39	International Ship Security Certificate (ISSC) or Interim	SOLAS 1974,
	International Ship Security Certificate	regulation XI-2/9.1.1;
	An International Ship Security Certificate (ISSC) shall be	ISPS Code, part A,
	issued to every ship by the Administration or an organization	section 19 and
	recognized by it to verify that the ship complies with the	appendices
	maritime security provisions of SOLAS chapter XI-2 and part	
	A of the ISPS Code. An interim ISSC may be issued under the	

No. 項次	Contents 內容	Reference 参考條文依據
	ISPS Code, part A, section 19.4.	
1.40	Exemption Certificate for UNSP Barges	MARPOL Annex I,
	An unmanned non-self-propelled (UNSP) barge, as defined in	regulations 3.7 and 9.2;
	regulation 1.40 of MARPOL Annex I, regulation 1.16 of	MARPOL Annex IV,
	MARPOL Annex IV, and regulation 2.1.32 of MARPOL	regulations 3.2 and 7.2;
	Annex VI, may be granted exemption from the survey and	MARPOL Annex VI,
	certification requirements under MARPOL Annexes I, IV and	regulations 3.4 and 8.4;
	VI. In this connection, the exemption certificate(s) for a UNSP	MEPC.1/Circ.892
	barge should be issued by the Administration, using the forms	
	set out in the appendices of MARPOL Annexes I, IV and VI,	
	taking into account the Guidelines for exemption of unmanned	
	non-self-propelled (UNSP) barges from certain survey and	
	certification requirements under the MARPOL Convention	
	(MEPC.1/Circ.892).	
	Note: the amendments to MARPOL Annexes I and IV, as	
	adopted by resolution MEPC.330(76), and MARPOL Annex	
	VI, as adopted by resolution MEPC.328(76), will enter into	
	force on 1 November 2022.	
1.41	International Oil Pollution Prevention Certificate	MARPOL Annex I,
	An international Oil Pollution Prevention Certificate shall be	regulation 7
	issued, after survey in accordance with regulation 6 of Annex	
	I of MARPOL, to any oil tanker of 150 gross tonnage and	
	above and any other ship of 400 gross tonnage and above	
	which is engaged in voyages to ports or offshore terminals	
	under the jurisdiction of other Parties to MARPOL. The	
	certificate is supplemented with a Record of Construction and	
	Equipment for Ships other than Oil Tankers (Form A) or a	
	Record of Construction and Equipment for Oil Tankers (Form	
	B), as appropriate.	
1.42	Oil Record Book	MARPOL Annex I,
	Every oil tanker of 150 gross tonnage and above and every ship	regulations 17 and 36
	of 400 gross tonnage and above other than an oil tanker shall	
	be provided with an Oil Record Book, part I (Machinery space	
	operations). Every oil tanker of 150 gross tonnage and above	
	shall also be provided with an Oil Record Book, part II	
	(Cargo/ballast operations).	

No. 項次	Contents 內容	Reference 参考條文依據
1.43	Shipboard Oil Pollution Emergency Plan	MARPOL Annex I,
	Every oil tanker of 150 gross tonnage and above and every ship	regulation 37;
	other than an oil tanker of 400 gross tonnage and above shall	resolution MEPC.54(32),
	carry on board a Shipboard Oil Pollution Emergency Plan	as amended by
	approved by the Administration.	resolution MEPC.86(44)
1.44	International Sewage Pollution Prevention Certificate	MARPOL Annex IV,
	An International Sewage Pollution Prevention Certificate shall	regulation 5;
	be issued, after an initial or renewal survey in accordance with	MEPC/Circ.408
	the provisions of regulation 4 of Annex IV of MARPOL, to	
	any ship which is required to comply with the provisions of	
	that Annex and is engaged in voyages to ports or offshore	
	terminals under the jurisdiction of other Parties to the	
	Convention.	
1.45	Document of approval for the rate of sewage discharge	MARPOL Annex IV,
	Untreated sewage from ships other than passenger ships in all	regulation 11.1.1;
	areas and from passenger ships outside special areas that has	resolution
	been stored in holding tanks shall be discharged at a moderate	MEPC.157(55)
	rate approved by the Administration based upon the standards	
	developed by the Organization.	
1.46	Garbage management plan	MARPOL Annex V,
	Every ship of 100 gross tonnage and above, and every ship	Regulation 10.2;
	which is certified to carry 15 persons or more, and fixed or	resolution
	floating platforms shall carry a garbage management plan	MEPC.220(63)
	which the crew shall follow.	
1.47	Garbage Record Book	MARPOL Annex V,
	Every ship of 400 gross tonnage and above and every ship	regulation 10.3
	which is certified to carry 15 or more persons engaged in	
	voyages to ports or offshore terminals under the jurisdiction of	
	other Parties to the Convention and every fixed and floating	
	platform shall be provided with a Garbage Record Book.	
1.48	SO _x Emission Compliance Certificate and Exhaust Gas	MARPOL Annex VI,
	Cleaning (EGC) System Technical Manual	regulation 4;
	For ships where an EGC system unit is fitted as an alternative	resolution
	compliance method to meet the requirements of MARPOL	MEPC.259(68)
	Annex VI regulation 14 in accordance with regulation 4 of	
	MARPOL Annex VI, the SO _x Emission Compliance	

No. 項次	Contents 內容	Reference 参考條文依據
	Certificate, together with the EGC System Technical Manual,	
	should be carried on board the ships. The certificate is valid for	
	the life of the EGC System unit, subject to surveys in	
	accordance with the relevant provisions of the 2015 Guidelines	
	for exhaust gas cleaning systems (resolution MEPC.259(68)).	
1.49	Ship Energy Efficiency Management Plan (SEEMP)	MARPOL Annex VI,
	All ships of 400 gross tonnage and above, excluding platforms	regulation 5.4.5, 5.4.6
	(including FPSOs and FSUs) and drilling rigs, regardless of	26, 27 and 28;
	their propulsion, shall keep on board a ship-specific Ship	MEPC.1/Circ.795/Rev.6;
	Energy Efficiency Management Plan (SEEMP). This may	MEPC.1/Circ.876;
	form part of the ship's safety management system (SMS). In	resolution
	the case of a ship of 5,000 gross tonnage and above, the	MEPC.346(78)
	SEEMP shall include a description of the methodology that	
	will be used to collect the data required by regulation 27.1 of	
	MARPOL Annex VI and the processes that will be used to	
	report the data to the ship's Administration.	
	The Administration shall ensure that for each ship to which	
	regulation 27 applies, the SEEMP complies with regulation	
	26.2 of MARPOL Annex VI. This shall be done prior to	
	collecting data under regulation 27 of MARPOL Annex VI in	
	order to ensure the methodology and processes are in place	
	prior to the beginning of the ship's first reporting period.	
	Confirmation of compliance shall be provided to and retained	
	on board the ship.	
	For a ship of 5,000 gross tonnage and above, which falls into	
	the categories as indicated in regulation 26.3 of MARPOL	
	Annex VI:	
	.1 on or before 1 January 2023, the SEEMP shall include	
	contents as specified in regulation 26.3, including	
	methodology to calculate the attained annual CII, the required	
	annual operational CII, implementation plan with regard to the	
	required annual operational CII and a procedure for self-	
	evaluation and improvement;	
	.2 for a ship rated as D for three consecutive years or rated as	
	E, in accordance with regulation 28 of MARPOL Annex VI,	
	the SEEMP shall be reviewed in accordance with regulation	

No. 項次	Contents 內容	Reference 参考條文依據
	28.8 of this Annex to include a plan of corrective actions to	
	achieve the required annual operational CII; and	
	.3 the SEEMP shall be subject to verification and company	
	audits taking into account the guidelines to be adopted by the	
	Organization.	
	The Administration shall ensure that for each ship to which	
	regulation 28 applies, the SEEMP complies with regulation	
	26.3.1 of this Annex. This shall be done prior to 1 January	
	2023. Confirmation of compliance shall be provided to, and	
	retained on board, the ship.	
	Note: the amendments to MARPOL Annex VI, as adopted by	
	resolution MEPC.328(76), will enter into force on 1 November	
	2022.	
1.50	International Air Pollution Prevention Certificate	MARPOL Annex VI,
	Ships constructed before the date of entry into force of the	regulation 6
	Protocol of 1997 shall be issued with an International Air	
	Pollution Prevention Certificate. Any ship of 400 gross	
	tonnage and above engaged in voyages to ports or offshore	
	terminals under the jurisdiction of other Parties and platforms	
	and drilling rigs engaged in voyages to waters under the	
	sovereignty or jurisdiction of other Parties to the Protocol of	
	1997 shall be issued with an International Air Pollution	
	Prevention Certificate.	
1.51	International Energy Efficiency Certificate	MARPOL Annex VI,
	An International Energy Efficiency Certificate for the ship	regulation 6
	shall be issued after a survey in accordance with the provisions	
	of regulation 5.4 to any ships of 400 gross tonnage and above	
	before that ship may engage in voyages to ports or offshore	
	terminals under the jurisdiction of other Parties.	
1.52	Statement of Compliance – Fuel Oil Consumption	MARPOL Annex VI,
	Reporting and Operational Carbon Intensity Rating	regulations 6, 27 and 28
	From calendar year 2019, each ship of 5,000 gross tonnage and	
	above shall collect the data specified in appendix IX to	
	MARPOL Annex VI, for that and each subsequent calendar	
	year or portion thereof, as appropriate. Upon receipt of	

No. 項次	Contents 內容	Reference 参考條文依據
	reported data pursuant to regulation 27 of MARPOL Annex	
	VI, the Administration or any organization duly authorized by	
	it shall determine whether the data has been reported in	
	accordance with regulation 27 and, if so, issue a Statement of	
	Compliance related to fuel oil consumption to the ship in	
	accordance with the provisions of regulation 6 of MARPOL	
	Annex VI. In every case, the Administration assumes full	
	responsibility for this Statement of Compliance, according to	
	the methodology included in the SEEMP.	
	After the end of calendar year 2023 and after the end of each	
	following calendar year, each ship of 5,000 gross tonnage and	
	above, which falls into the categories indicated in regulation	
	28 of MARPOL Annex VI, shall calculate the attained annual	
	operational CII over a 12-month period from 1 January to 31	
	December for the preceding calendar year, using the data	
	collected in accordance with regulation 27 of this Annex,	
	taking into account the guidelines to be developed by the	
	Organization. Upon receipt of reported data pursuant to	
	regulation 27.3 of this Annex and attained annual operational	
	CII pursuant to regulation 28.2 of this Annex, the	
	Administration or any organization duly authorized by it shall	
	verify data and determine the operational carbon intensity	
	rating of the ship, in accordance with the provisions of	
	regulation 6 of the MARPOL Annex VI and, when appropriate,	
	issue a Statement of Compliance related to fuel oil	
	consumption reporting and operational carbon intensity rating	
	to the ship no later than 5 months from the beginning of the	
	calendar year, upon determination and verification pursuant to	
	regulations 6.6.1 to 6.6.3 of the Annex. In every case, the	
	Administration assumes full responsibility for this Statement	
	of Compliance.	
	The Statement of Compliance shall be drawn up in a form	
	corresponding to the model given in appendix X to MARPOL	
	Annex VI.	
	Note: the amendments to MARPOL Annex VI, as adopted by	
	resolution MEPC.328(76), will enter into force on 1 November	

No. 項次	Contents 內容	Reference 参考條文依據
	2022.	
1.53	Ozone-depleting substances record book	MARPOL Annex VI,
	Each ship subject to MARPOL Annex VI, regulation 6.1 that	regulation 12.6
	has rechargeable systems that contain ozone-depleting	
	substances shall maintain an ozone-depleting substances	
	record book.	
1.54	Logbook or electronic record book - nitrogen oxides	MARPOL Annex VI,
	emission	regulations 13.5.1 and
	The tier and on/off status of marine diesel engines installed on	13.5.3
	board a ship to which MARPOL Annex VI, regulation 13.5.1	
	applies which are certified to both Tier II and Tier III or which	
	are certified to Tier II only shall be recorded in such logbook	
	or electronic record book, as prescribed by the Administration	
	at entry into and exit from a NOx Tier III emission control area,	
	or when the on/off status changes within such an area, together	
	with the date, time and position of the ship.	
1.55	Fuel oil changeover procedure and logbook (record of fuel	MARPOL Annex VI,
	changeover)	regulation 14.6
	Those ships using separate fuel oils to comply with MARPOL	
	Annex VI, regulation 14.3 and entering or leaving an emission	
	control area shall carry a written procedure showing how the	
	fuel oil changeover is to be done. The volume of low-sulphur	
	fuel oils in each tank as well as the date, time and position of	
	the ship when any fuel oil changeover operation is completed	
	prior to the entry into an emission control area or commenced	
	after exit from such an area shall be recorded in such logbook	
	or electronic record book as prescribed by the Administration.	
1.56	Manufacturer's operating manual for incinerators	MARPOL Annex VI,
	Incinerators installed in accordance with the requirements of	regulation 16.7
	MARPOL Annex VI, regulation 16.6.1 shall be provided with	
	a manufacturer's operating manual, which is to be retained with	
	the unit.	
1.57	Bunker delivery note and representative sample	MARPOL Annex VI,
	Bunker delivery note and representative sample of the fuel oil	regulations 18.6 and
	delivered shall be kept on board in accordance with the	18.8.1
	requirements of MARPOL Annex VI, regulations 18.6 and	

App 22.1 EED calcu	It all to ships and the categories as specified in regulation of MARPOL Annex VI. If Technical File contains the information necessary for the allation of the attained EEDI and that shows the process of allation. It is the amendments to MARPOL Annex VI, as adopted by	MARPOL Annex VI, regulation 22
App 22.1 EED calcu	clicable to ships and the categories as specified in regulation of MARPOL Annex VI. II Technical File contains the information necessary for the allation of the attained EEDI and that shows the process of allation.	
22.1 EED	of MARPOL Annex VI. I Technical File contains the information necessary for the plation of the attained EEDI and that shows the process of plation.	regulation 22
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Note	· · · · · · · · · · · · · · · · · · ·	
reso	ution MEPC.328(76), will enter into force on 1 November	
2022	<i>.</i> .	
1.59 EEX	I Technical File	MARPOL Annex VI,
	licable to ships and the categories as specified in regulation	regulation 23
	of MARPOL Annex VI.	
	I Technical File contains the information necessary for the	
	alation of the attained EEXI and that shows the process of	
	alculation.	
	: the amendments to MARPOL Annex VI, as adopted by	
	ution MEPC.328(76), will enter into force on 1 November	
2022		NOVE 1 1 1 G 1
	nnical File	NOX Technical Code
	y marine diesel engine installed on board a ship shall be	2008,
	ided with a Technical File. The Technical File shall be	paragraph 2.3.4
	ared by the applicant for engine certification and approved	
	e Administration, and is required to accompany an engine	
	ighout its life on board ships. The Technical File shall	
	ain the information as specified in paragraph 2.4.1 of the	
	Technical Code, 2008.	NOx Technical Code
	ord Book of Engine Parameters re the Engine Parameter Check method in accordance with	2008,
	graph 6.2 of the NO _x Technical Code, 2008 is used to	paragraphs 2.3.7 and
	by compliance, if any adjustments or modifications are	6.2.2.7.1
	e to an engine after its pre-certification, a full record of	0.2.2.7.1
	adjustments or modifications shall be recorded in the	
	ne's record book or electronic record book of engine	
	meters.	
•	ificates for masters, officers or ratings	STCW 1978, article VI,

No. 項次	Contents 內容	Reference 参考條文依據
	Certificates for masters, officers or ratings shall be issued to	regulation I/2;
	those candidates who, to the satisfaction of the Administration,	STCW Code, section A-
	meet the requirements for service, age, medical fitness,	I/2
	training, qualifications and examinations in accordance with	STCW-F 1995, article 6,
	the appropriate provisions of the 1978 STCW Convention and	regulation 3
	STCW Code. Formats of certificates are given in section A-I/2	
	of the STCW Code. Certificates must be kept available in their	
	original form on board the ships on which the holder is serving.	
	Fishing vessel personnel serving on board seagoing fishing	
	vessels shall be certificated in accordance with the provisions	
	of STCW-F Convention 1995. Formats of certificates are given	
	in appendix 1, 2 and 3 of the Convention.	
1.63	Records of daily hours of rest	STCW Code,
	Records of daily hours of rest of seafarers shall be maintained	section A-VIII/1;
	on board.	IMO/ILO Guidelines for
		the development of
		tables of seafarers'
		shipboard working
		arrangements and
		formats of records of
		seafarers' hours of work
		or hours of rest
1.64	International Anti-fouling System Certificate	AFS 2001,
	Ships of 400 GT and above engaged in international voyages,	regulation 2(1) of annex
	excluding fixed or floating platforms, FSUs and FPSOs, shall	4
	be issued after inspection and survey an international Anti-	
	fouling System Certificate together with a Record of Anti-	
	fouling Systems.	
1.65	Declaration on Anti-fouling System	AFS 2001,
	Ships of 24 m or more in length, but less than 400 GT engaged	regulation 5(1) of annex
	in international voyages, excluding fixed or floating platforms,	4
	FSUs, and FPSOs, shall carry a declaration signed by the	
	owner or owner's authorized agents. Such a declaration shall	
	be accompanied by appropriate documentation (such as a paint	
	receipt or a contractor invoice) or contain appropriate	
	endorsement.	

No. 項次	Contents 內容	Reference 参考條文依據
1.66	International Ballast Water Management Certificate	BWM 2004,
	Ships of 400 gross tonnage and above to which the BWM 2004	regulation E-2
	applies, excluding floating platforms, FSUs and FPSOs, shall	
	be issued the certificate after successful completion of a survey	
	conducted in accordance with regulation E-1.	
1.67	Ballast water management plan	BWM 2004,
	Each ship shall have on board and implement a ballast water	regulation B-1;
	management plan. Such a plan shall be approved by the	resolution
	Administration taking into account guidelines developed by	MEPC.127(53), as
	the Organization.	amended by resolution
		MEPC.306(73)
1.68	Ballast water record book	BWM 2004,
	Each ship shall have on board a ballast water record book that	regulation B-2
	may be an electronic record system, or that may be integrated	
	into another record book or system and which shall at least	
	contain the information specified in appendix II of the	
	Convention. The ballast water record book entries shall be	
	maintained on board the ship for a minimum period of two	
	years after the last entry has been made and thereafter in the	
	Company's control for a minimum period of three years.	
1.69	Type Approval of Certificate for Ballast Water	BWMS Code (resolution
	Management System (BWMS)	MEPC.300(72));
	A copy of Type Approval Certificate shall be carried on board	resolutions
	a ship fitted with this ballast water management system, for	MEPC. 125(53),
	inspection on board the ship. If the Type Approval Certificate	MEPC.174(58) and
	is issued based on approval by another Administration,	MEPC.279(70)
	reference to that Type Approval Certificate shall be made.	
1.70	Certificate of insurance or other financial security in	Bunkers 2001, article 7
	respect of civil liability for bunker oil pollution damage	
	Certificate attesting that insurance or other financial security is	
	in force in accordance with the provisions of this Convention	
	shall be issued to each ship having a gross tonnage greater than	
	1,000 after the appropriate authority of a State Party has	
	determined that the requirements of article 7, paragraph 1 have	
	been complied with. With respect to a ship registered in a State	
	Party such certificate shall be issued or certified by the	

No. 項次	Contents 內容	Reference 参考條文依據
	appropriate authority of the State of the ship's registry; with	
	respect to a ship not registered in a State Party it may be issued	
	or certified by the appropriate authority of any State Party. A	
	State Party may authorize either an institution or an	
	organization recognized by it to issue the certificate referred to	
	in article 7, paragraph 2. This compulsory insurance certificate	
	shall be in the form of the model set out in the annex to the	
	Convention.	
1.71	Certificate of insurance or other financial security in	Nairobi WRC 2007,
	respect of liability for the removal of wrecks	article 12
	Certificate attesting that insurance or other financial security is	
	in force in accordance with the provisions of the Convention	
	shall be issued to each ship of 300 gross tonnage and above by	
	the appropriate authority of the State of the ship's registry after	
	determining that the requirements of article 12.1 have been	
	complied with. With respect to a ship registered in a State	
	Party, such certificate shall be issued or certified by the	
	appropriate authority of the State of the ship's registry; with	
	respect to a ship not registered in a State Party it may be issued	
	or certified by the appropriate authority of any State Party. This	
	compulsory insurance certificate shall be in the form of the	
	model set out in the annex to the Convention.	
2	In addition to the certificates listed in section 1 above,	
	passenger ships shall carry:	
2.1	Passenger Ship Safety Certificate	SOLAS 1974,
	A certificate called a Passenger Ship Safety Certificate shall be	regulation I/12;
	issued after inspection and survey to a passenger ship which	SOLAS PROT 1988,
	complies with the requirements of chapters II-1, II-2, III, IV	regulation I/12
	and V and any other relevant requirements of SOLAS 1974. A	
	Record of Equipment for the Passenger Ship Safety Certificate	
	(Form P) shall be permanently attached.	
2.2	Decision support system for masters	SOLAS 1974,
	In all passenger ships, a decision support system for emergency	regulation III/29
	management shall be provided on the navigation bridge.	
2.3	Search and rescue cooperation plan	SOLAS 1974,
	Passenger ships to which chapter I of the Convention applies	regulation V/7.3

No. 項次	Contents 內容	Reference 参考條文依據
	shall have on board a plan for cooperation with appropriate	
	search and rescue services in the event of an emergency.	
2.4	List of operational limitations	SOLAS 1974,
	Passenger ships to which chapter I of the Convention applies	regulation V/30
	shall keep on board a list of all limitations on the operation of	
	the ship, including exemptions from any of the SOLAS	
	regulations, restrictions in operating areas, weather	
	restrictions, sea state restrictions, restrictions in permissible	
	loads, trim, speed and any other limitations, whether imposed	
	by the Administration or established during the design or the	
	building stages.	
2.5	Special Trade Passenger Ship Safety Certificate, Special	STP 71, rule 5
	Trade Passenger Ship Space Certificate	SSTP 73, rule 5
	A Special Trade Passenger Ship Safety Certificate issued under	
	the provisions of the Special Trade Passenger Ships	
	Agreement, 1971.	
	A certificate called a Special Trade Passenger Ship Space	
	Certificate shall be issued under the provisions of the Protocol	
	on Space Requirements for Special Trade Passenger Ships,	
	1973.	
2.6	Certificate of insurance or other financial security in	PAL 1974 as modified
	respect of liability for the death of and personal injury to	by PAL PROT 2002,
	passengers	article 4bis;
	A certificate attesting that insurance or other financial security	resolution A.988(24);
	is in force in accordance with the provisions of this Convention	Circular Letter No.2758
	shall be issued to each ship that is licensed to carry more than	
	12 passengers, after the appropriate authority of a State Party	
	has determined that the requirements of article 4bis paragraph	
	1 have been complied with. With respect to a ship registered in	
	a State Party, such certificate shall be issued or certified by the	
	appropriate authority of the State of the ship's registry; with	
	respect to a ship not registered in a State Party it may be issued	
	or certified by the appropriate authority of any State Party. A	
	State Party may authorize an institution or an organization	
	recognized by it to issue the certificate. The certificate shall be	
	in the form of the model set out in the annex to the Convention.	

No. 項次	Contents 內容	Reference 参考條文依據
	Pursuant to resolution A.988(24), States are recommended to	
	ratify the Athens Protocol as soon as possible, with the	
	reservation that they reserve the right to issue and accept	
	insurance certificates with such special exceptions and	
	limitations as the insurance market conditions at the time of	
	issue of the certificate may necessitate, examples being the	
	biochemical clause and terrorism-related clauses (Circular	
	Letter No.2758 refers).	
3	In addition to the certificates listed in section 1 above, cargo	
	ships shall carry:	
3.1	Cargo Ship Safety Construction Certificate	SOLAS 1974,
	A certificate called a Cargo Ship Safety Construction	regulation I/12;
	Certificate shall be issued after survey to a cargo ship of 500	SOLAS PROT 1988,
	gross tonnage and over which satisfies the requirements for	regulation I/12
	cargo ships on survey, set out in regulation I/10 of SOLAS	
	1974, and complies with the applicable requirements of	
	chapters II-1 and II-2, other than those relating to fire-	
	extinguishing appliances and fire-control plans.	
3.2	Cargo Ship Safety Equipment Certificate	SOLAS 1974,
	A certificate called a Cargo Ship Safety Equipment Certificate	regulation I/12;
	shall be issued after survey to a cargo ship of 500 gross tonnage	SOLAS PROT 1988,
	and over which complies with the relevant requirements of	regulation I/12
	chapters II-1 and II-2, III and V and any other relevant	
	requirements of SOLAS 1974. A Record of Equipment for the	
	Cargo Ship Safety Equipment Certificate (Form E) shall be	
	permanently attached.	
3.3	Cargo Ship Safety Radio Certificate	SOLAS 1974, regulation
	A certificate called a Cargo Ship Safety Radio Certificate shall	I/12,
	be issued after survey to a cargo ship of 300 gross tonnage and	as amended by the
	over, fitted with a radio installation, including those used in	GMDSS amendments;
	life-saving appliances, which complies with the requirements	SOLAS PROT 1988,
	of chapter IV and any other relevant requirements of SOLAS	regulation I/12
	1974. A Record of Equipment for the Cargo Ship Safety Radio	
	Certificate (Form R) shall be permanently attached.	
3.4	Cargo Ship Safety Certificate	SOLAS PROT 1988,
	A certificate called a Cargo Ship Safety Certificate may be	regulation I/12

No. 項次	Contents 內容	Reference 参考條文依據
	issued after survey to a cargo ship which complies with the	
	relevant requirements of chapters II-1, II-2, III, IV and V and	
	other relevant requirements of SOLAS 1974 as modified by the	
	1988 SOLAS Protocol, as an alternative to the Cargo Ship	
	Safety Construction Certificate, Cargo Ship Safety Equipment	
	Certificate and Cargo Ship Safety Radio Certificate. A Record	
	of Equipment for the Cargo Ship Safety Certificate (Form C)	
	shall be permanently attached.	
3.5	Ship Structure Access Manual	SOLAS 1974,
	This regulation applies to oil tankers of 500 gross tonnage and	regulation II-1/3-6
	over and bulk carriers, as defined in regulation IX/1, of 20,000	
	gross tonnage and over, constructed on or after 1 January 2006.	
	A ship's means of access to carry out overall and close-up	
	inspections and thickness measurements shall be described in	
	a Ship Structure Access Manual approved by the	
	Administration, an updated copy of which shall be kept on	
	board.	
3.6	Cargo Information	SOLAS 1974,
	The shipper shall provide the master or his representative with	regulations VI/2 and
	appropriate information, confirmed in writing, on the cargo, in	XII/10;
	advance of loading. In bulk carriers, the density of the cargo	MSC/Circ.663
	shall be provided in the above information.	
3.7	Bulk Carrier Booklet	SOLAS 1974,
	To enable the master to prevent excessive stress in the ship's	regulations VI/7
	structure, the ship loading and unloading solid bulk cargoes	and XII/8;
	shall be provided with a booklet referred to in SOLAS	BLU Code
	shall be provided with a booklet referred to in SOLAS regulation VI/7.2. The booklet shall be endorsed by the	BLU Code
		BLU Code
	regulation VI/7.2. The booklet shall be endorsed by the	BLU Code
	regulation VI/7.2. The booklet shall be endorsed by the Administration or on its behalf to indicate that SOLAS	BLU Code
	regulation VI/7.2. The booklet shall be endorsed by the Administration or on its behalf to indicate that SOLAS regulations XII/4, 5, 6 and 7, as appropriate, are complied with.	BLU Code
3.8	regulation VI/7.2. The booklet shall be endorsed by the Administration or on its behalf to indicate that SOLAS regulations XII/4, 5, 6 and 7, as appropriate, are complied with. As an alternative to a separate booklet, the required	BLU Code SOLAS 1974,
3.8	regulation VI/7.2. The booklet shall be endorsed by the Administration or on its behalf to indicate that SOLAS regulations XII/4, 5, 6 and 7, as appropriate, are complied with. As an alternative to a separate booklet, the required information may be contained in the stability information.	
3.8	regulation VI/7.2. The booklet shall be endorsed by the Administration or on its behalf to indicate that SOLAS regulations XII/4, 5, 6 and 7, as appropriate, are complied with. As an alternative to a separate booklet, the required information may be contained in the stability information. Document of authorization for the carriage of grain and	SOLAS 1974,
3.8	regulation VI/7.2. The booklet shall be endorsed by the Administration or on its behalf to indicate that SOLAS regulations XII/4, 5, 6 and 7, as appropriate, are complied with. As an alternative to a separate booklet, the required information may be contained in the stability information. Document of authorization for the carriage of grain and grain loading manual	SOLAS 1974, regulation VI/9;

No. 項次	Contents 內容	Reference 参考條文依據
	shall accompany or be incorporated into the grain loading	
	manual provided to enable the master to meet the stability	
	requirements of the Code.	
3.9	Enhanced survey report file	SOLAS 1974,
	Bulk carriers and oil tankers shall have a survey report file and	regulation XI-1/2;
	supporting documents complying with paragraphs 6.2 and 6.3	2011 ESP Code
	of annex A/annex B, part A/part B, 2011 ESP Code.	(resolution A.1049(27),
		as amended)
3.10	Dedicated Clean Ballast Tank Operation Manual	MARPOL Annex I,
	Every product carrier of 40,000 tonnes deadweight and above	regulation 18.8;
	delivered on or before 1 June 1982, operating with dedicated	resolution A.495(XII)
	clean ballast tanks shall be provided with a Dedicated Clean	
	Ballast Tank Operation Manual detailing the system and	
	specifying operational procedures. Such a Manual shall be to	
	the satisfaction of the Administration and shall contain all the	
	information set out in the Specifications referred to in sub-	
	paragraph 8.2 of MARPOL Annex I regulation 18. If an	
	alteration affecting the dedicated clean ballast tank system is	
	made, the Operation Manual shall be revised accordingly.	
3.11	Condition Assessment Scheme (CAS) Statement of	MARPOL Annex I,
	Compliance, CAS Final Report and Review Record	regulations 20 and 21;
	A Statement of Compliance shall be issued by the	resolution MEPC.94(46),
	Administration to every oil tanker which has been surveyed in	as amended by
	accordance with the requirements of the Condition Assessment	resolutions
	Scheme (CAS) and found to be in compliance with these	MEPC.99(48),
	requirements. In addition, a copy of the CAS Final Report	MEPC.112(50),
	which was reviewed by the Administration for the issue of the	MEPC.131(53),
	Statement of Compliance and a copy of the relevant Review	resolution
	Record shall be placed on board to accompany the Statement	MEPC.155(55), and
	of Compliance.	MEPC.236(65)
3.12	Subdivision and stability information	MARPOL Annex I,
	Every oil tanker to which regulation 28 of Annex I of	regulation 28
	MARPOL applies shall be provided in an approved form with	
	information relative to loading and distribution of cargo	
	necessary to ensure compliance with the provisions of this	
	regulation and data on the ability of the ship to comply with	

No. 項次	Contents 內容	Reference 参考條文依據
	damage stability criteria as determined by this regulation.	
3.13	Record of oil discharge monitoring and control system for	MARPOL Annex I,
	the last ballast voyage	regulation 31
	Subject to the provisions of paragraphs 4 and 5 of regulation 3	
	of MARPOL Annex I, every oil tanker of 150 gross tonnage	
	and above shall be equipped with an oil discharge monitoring	
	and control system approved by the Administration. The	
	system shall be fitted with a recording device to provide a	
	continuous record of the discharge in litres per nautical mile	
	and total quantity discharged, or the oil content and rate of	
	discharge. The record shall be identifiable as to time and date	
	and shall be kept for at least three years.	
3.14	Oil Discharge Monitoring and Control (ODMC)	MARPOL Annex I,
	Operational Manual	regulation 31;
	Every oil tanker fitted with an Oil Discharge Monitoring and	resolution A.496(XII);
	Control system shall be provided with instructions as to the	resolution A.586(14), as
	operation of the system in accordance with an operational	amended by resolution
	manual approved by the Administration.	MEPC.24(22);
		resolution
		MEPC.108(49), as
		amended by resolution
		MEPC.240(65)
3.15	Crude Oil Washing Operation and Equipment Manual	MARPOL Annex I,
	(COW Manual)	regulation 35; resolution
	Every oil tanker operating with crude oil washing systems shall	MEPC.81(43)
	be provided with an Operations and Equipment Manual	
	detailing the system and equipment and specifying operational	
	procedures. Such a Manual shall be to the satisfaction of the	
	Administration and shall contain all the information set out in	
	the specifications referred to in regulation 35 of Annex I of	
	MARPOL.	
3.16	STS operations plan and records of STS operations	MARPOL Annex I,
	Any oil tanker involved in STS operations shall carry on board	regulation 41
	a plan prescribing how to conduct STS operations (STS	
	operations plan) not later than the date of the first annual,	
	intermediate or renewal survey of the ship to be carried out on	

No. 項次	Contents 內容	Reference 参考條文依據
	or after 1 January 2011. Each oil tanker's STS operations plan	
	shall be approved by the Administration. The STS operations	
	plan shall be written in the working language of the ship.	
	Records of STS operations shall be retained on board for three	
	years and be readily available for inspection.	
3.17	VOC Management Plan	MARPOL Annex VI,
	A tanker carrying crude oil, to which MARPOL Annex VI,	regulation 15.6
	regulation 15.1 applies, shall have on board and implement a	
	VOC Management Plan.	
3.18	Document of approval for the stability instrument	IBC Code para. 2.2.6;
	All ships, subject to the IBC, IGC, BCH and GC Codes, should	IGC Code para. 2.2.6;
	be fitted with a stability instrument capable of verifying	BCH code para. 2.2.1.2;
	compliance with intact and damage stability approved by the	GC Code para. 2.2.4;
	Administration, at the first scheduled renewal survey of the	2008 IS Code;
	ship on or after 1 January 2016, but not later than 1 January	MSC.1/Circ.1229;
	2021, having regard to the performance standards	MSC.1/Circ.1461
	recommended by the Organization. The Administration should	
	issue a document of approval for the stability instrument.	
3.19	Certificate of insurance or other financial security in	CLC 1969,
	respect of civil liability for oil pollution damage	article VII
	A certificate attesting that insurance or other financial security	
	is in force shall be issued to each ship carrying more than 2,000	
	tonnes of oil in bulk as cargo. It shall be issued or certified by	
	the appropriate authority of the State of the ship's registry after	
	determining that the requirements of article VII, paragraph 1,	
	of the CLC Convention have been complied with.	
3.20	Certificate of insurance or other financial security in	CLC 1992,
	respect of civil liability for oil pollution damage	article VII
	A certificate attesting that insurance or other financial security	
	is in force in accordance with the provisions of the 1992 CLC	
	Convention shall be issued to each ship carrying more than	
	2,000 tonnes of oil in bulk as cargo after the appropriate	
	authority of a Contracting State has determined that the	
	requirements of article VII, paragraph 1, of the Convention	
	have been complied with. With respect to a ship registered in a	
	Contracting State, such certificate shall be issued by the	

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	appropriate authority of the State of the ship's registry; with	
	respect to a ship not registered in a Contracting State, it may	
	be issued or certified by the appropriate authority of any	
	Contracting State.	
4	In addition to the certificates listed in sections 1 and 3	
	above, where appropriate, any ship carrying noxious liquid	
	chemical substances in bulk shall carry:	
4.1	International Pollution Prevention Certificate for the	MARPOL Annex II,
	Carriage of Noxious Liquid Substances in Bulk (NLS	regulation 9
	Certificate)	
	An international pollution prevention certificate for the	
	carriage of noxious liquid substances in bulk (NLS Certificate)	
	shall be issued, after survey in accordance with the provisions	
	of regulation 8 of Annex II of MARPOL, to any ship carrying	
	noxious liquid substances in bulk and which is engaged in	
	voyages to ports or terminals under the jurisdiction of other	
	Parties to MARPOL. In respect of chemical tankers, the	
	Certificate of Fitness for the Carriage of Dangerous Chemicals	
	in Bulk and the International Certificate of Fitness for the	
	Carriage of Dangerous Chemicals in Bulk, issued under the	
	provisions of the Bulk Chemical Code and International Bulk	
	Chemical Code, respectively, shall have the same force and	
	receive the same recognition as the NLS Certificate.	
4.2	Cargo Record Book	MARPOL Annex II,
	Ships carrying noxious liquid substances in bulk shall be	regulation 15.1
	provided with a Cargo Record Book, whether as part of the	
	ship's official logbook, as an electronic record book which	
	shall be approved by the Administration taking into account	
	Guidelines developed by the Organization, or otherwise, in the	
	form specified in appendix II to Annex II.	
4.3	Procedures and Arrangements Manual (P & A Manual)	MARPOL Annex II,
	Every ship certified to carry noxious liquid substances in bulk	regulation 14;
	shall have on board a Procedures and Arrangements Manual	resolution MEPC.18(22),
	approved by the Administration.	as amended by
		resolution MEPC.62(35)
4.4	Shipboard marine pollution emergency plan for noxious	MARPOL Annex II,

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	liquid substances	regulation 17;
	Every ship of 150 gross tonnage and above certified to carry	resolution MEPC.85(44),
	noxious liquid substances in bulk shall carry on board a	as amended by
	shipboard marine pollution emergency plan for noxious liquid	resolution
	substances approved by the Administration.	MEPC.137(53)
5	In addition to the certificates listed in sections 1 and 3	
	above, where applicable, any chemical tanker shall carry:	
5.1	Certificate of Fitness for the Carriage of Dangerous	BCH Code,
	Chemicals in Bulk	section 1.6
	A certificate called a Certificate of Fitness for the Carriage of	
	Dangerous Chemicals in Bulk, the model form of which is set	
	out in the appendix to the Bulk Chemical Code, should be	
	issued after an initial or periodical survey to a chemical tanker	
	engaged in international voyages which complies with the	
	relevant requirements of the Code.	
	Note: The Code is mandatory under Annex II of MARPOL for	
	chemical tankers constructed before 1 July 1986.	
	Or	
5.2	International Certificate of Fitness for the Carriage of	IBC Code,
	Dangerous Chemicals in Bulk	section 1.5
	A certificate called an International Certificate of Fitness for	
	the Carriage of Dangerous Chemicals in Bulk, the model form	
	of which is set out in the appendix to the International Bulk	
	Chemical Code, should be issued after an initial or periodical	
	survey to a chemical tanker engaged in international voyages,	
	which complies with the relevant requirements of the Code.	
	Note: The Code is mandatory under both chapter VII of SOLAS	
	1974 and Annex II of MARPOL for chemical tankers	
	constructed on or after 1 July 1986.	
6	In addition to the certificates listed in sections 1 and 3	
	above, where applicable, any gas carrier shall carry:	
6.1	Certificate of Fitness for the Carriage of Liquefied Gases	GC Code,
	in Bulk	section 1.6
	A certificate called a Certificate of Fitness for the Carriage of	
	Liquefied Gases in Bulk, the model form of which is set out in	
	the appendix to the Gas Carrier Code, should be issued after an	

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	initial or periodical survey to a gas carrier which complies with	
	the relevant requirements of the Code.	
6.2	International Certificate of Fitness for the Carriage of	IGC Code,
	Liquefied Gases in Bulk	section 1.4
	A certificate called an International Certificate of Fitness for	
	the Carriage of Liquefied Gases in Bulk, the model form of	
	which is set out in the appendix to the International Gas Carrier	
	Code, should be issued after an initial or periodical survey to a	
	gas carrier which complies with the relevant requirements of	
	the Code.	
	Note: The Code is mandatory under chapter VII of SOLAS	
	1974 for gas carriers constructed on or after 1 July 1986.	
6.3	Cargo Operations Manuals	IGC Code,
	The approved cargo operations manuals, including relevant	regulations 18.2.1 and
	procedures for ESD system and emergency isolating	18.10.3.4
	operations of PRVs shall be provided on board.	
7	In addition to the certificates listed in sections 1, 2 or 3	
	above, where applicable, any high-speed craft shall carry:	
7.1	High-Speed Craft Safety Certificate	SOLAS 1974, regulation
	A certificate called a High-Speed Craft Safety Certificate shall	X/3;
	be issued after completion of an initial or renewal survey to a	1994 HSC Code,
	craft which complies with the requirements of the 1994 HSC	section 1.8;
	Code or the 2000 HSC Code, as appropriate.	2000 HSC Code, section
		1.8
7.2	Permit to Operate High-Speed Craft	1994 HSC Code,
	A certificate called a Permit to Operate High-Speed Craft shall	section 1.9;
	be issued to a craft which complies with the requirements set	2000 HSC Code, section
	out in paragraphs 1.2.2 to 1.2.7 of the 1994 HSC Code or the	1.9
	2000 HSC Code, as appropriate.	
8	In addition to the certificates listed in sections 1, 2 or 3	
	above, where applicable, any ship carrying dangerous	
	goods shall carry:	
8.1	Document of compliance with the special requirements for	SOLAS 1974,
	ships carrying dangerous goods	regulation II-2/19.4
	The Administration shall provide the ship with an appropriate	
	document as evidence of compliance of construction and	

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	equipment with the requirements of regulation II-2/19 of	
	SOLAS 1974. Certification for dangerous goods, except solid	
	dangerous goods in bulk, is not required for those cargoes	
	specified as class 6.2 and 7 and dangerous goods in limited	
	quantities.	
9	In addition to the certificates listed in sections 1, 2 or 3	
	above, where applicable, any ship carrying dangerous	
	goods in packaged form shall carry:	
9.1	Transport information	SOLAS 1974,
	Transport information relating to the carriage of dangerous	regulation VII/4.1
	goods in packaged form and the container/vehicle packing	
	certificate shall be in accordance with the relevant provisions	
	of the IMDG Code and shall be made available to the person	
	or organization designated by the port State authority.	
9.2	Dangerous goods manifest or stowage plan	SOLAS 1974,
	Each ship carrying dangerous goods in packaged form shall	regulations VII/4.2 and
	have a special list or manifest setting forth, in accordance with	VII/7-2.2;
	the classification set out in the IMDG Code, the dangerous	MARPOL Annex III,
	goods on board and the location thereof. Each ship carrying	regulation 4
	dangerous goods in solid form in bulk shall have a list or	
	manifest setting forth the dangerous goods on board and the	
	location thereof. A detailed stowage plan, which identifies by	
	class and sets out the location of all dangerous goods on board,	
	may be used in place of such a special list or manifest. A copy	
	of one of these documents shall be made available before	
	departure to the person or organization designated by the port	
	State authority.	
10	In addition to the certificates listed in sections 1, 2 or 3	
	above, where applicable, any ship carrying INF cargo shall	
	carry:	
10.1	International Certificate of Fitness for the Carriage of INF	SOLAS 1974,
	Cargo	regulation VII/16; INF
	A ship carrying INF cargo shall comply with the requirements	Code
	of the International Code for the Safe Carriage of Packaged	(resolution MSC.88(71),
	Irradiated Nuclear Fuel, Plutonium and High-Level	as amended),
	Radioactive Wastes on Board Ships (INF Code) in addition to	paragraph 1.3

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	any other applicable requirements of the SOLAS regulations	
	and shall be surveyed and be provided with the International	
	Certificate of Fitness for the Carriage of INF Cargo.	
11	In addition to the certificates listed in sections 1, 2 or 3	
	above, where applicable, any Nuclear Ship shall carry:	
11.1	Operating manual for nuclear power plant	SOLAS 1974,
	A fully detailed operating manual shall be prepared for the	regulation VIII/8
	information and guidance of the operating personnel in their	
	duties on all matters relating to the operation of the nuclear	
	power plant having an important bearing on safety. The	
	Administration, when satisfied, shall approve such operating	
	manual and a copy shall be kept on board the ship. The	
	operating manual shall always be kept up to date.	
11.2	A Nuclear Cargo Ship Safety Certificate or Nuclear	SOLAS 1974,
	Passenger Ship Safety Certificate, in place of the Cargo	regulation VIII/10
	Ship Safety Certificate or Passenger Ship Safety	
	Certificate, as appropriate	
	Every nuclear powered ship shall be issued with the certificate	
	required by SOLAS chapter VIII.	
12	In addition to the certificates listed in sections 1, 2 or 3	
	above, where applicable, any ship operating in polar waters	
	shall carry:	
12.1	Polar Ship Certificate	Polar Code,
	Every ship to which the Polar Code applies shall have on board	part I-A, section 1.3
	a valid Polar Ship Certificate. The certificate shall include a	
	supplement recording equipment required by the Code.	
12.2	Polar Water Operational Manual (PWOM)	Polar Code,
	Every ship to which the Polar Code applies shall have on board	part I-A, section 2.3
	a Polar Water Operational Manual (PWOM) as required in part	
	I-A, section 2.3 of the Code.	
13	In addition to the certificates listed in sections 1, 2 or 3	
	above, where applicable, any ship using gases or other low-	
	flashpoint fuels shall carry:	
13.1	Maintenance procedures, emergency procedures and	IGF Code, regulation
	operational procedures	18.2
	The maintenance procedures and information for all gas-	

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	related installations, and the suitable emergency procedures,	
	and the operational procedures including a suitably detailed	
	fuel handling manual, shall be provided on board.	
Other o	certificates and documents which are not mandatory	
14	Special purpose ships	
14.1	Special Purpose Ship Safety Certificate	1983 SPS Code
	In addition to SOLAS certificates as specified in paragraph 7	(resolution A.534(13), as
	of the Preamble of the 1983 SPS Code and 2008 SPS Code, a	amended);
	Special Purpose Ship Safety Certificate should be issued after	2008 SPS Code
	survey in accordance with the provisions of paragraph 1.6 of	(resolution
	the 1983 SPS Code and 2008 SPS Code. The duration and	MSC.266(84), as
	validity of the certificate should be governed by the respective	amended),
	provisions for cargo ships in SOLAS 1974. If a certificate is	SOLAS 1974,
	issued for a special purpose ship of less than 500 gross tonnage,	regulation I/12;
	this certificate should indicate to what extent relaxations in	SOLAS PROT 1988,
	accordance with 1.2 were accepted.	regulation I/12
	The 2008 SPS Code applies to every special purpose ship of	
	not less than 500 GT certified on or after 13 May 2008.	
15	Offshore support vessels	
15.1	Offshore Supply Vessel Document of Compliance	Resolution
	The Document of Compliance should be issued when satisfied	MSC.235(82), as
	that the vessel complies with the provisions of the Guidelines	amended by
	for the design and construction of offshore supply vessels,	resolution MSC.335(90)
	2006.	
15.2	Certificate of Fitness for Offshore Support Vessels	OSV Chemical Code
	A Certificate of Fitness, the model form of which is set out in	(resolution A.1122(30))
	the appendix to the Code for the transport and handling of	MARPOL Annex II,
	hazardous and noxious liquid substances in bulk on offshore	regulation 11.2
	support vessels (OSV Chemical Code), should be issued and	
	suitably endorsed to certify compliance with the provisions of	
	the OSV Chemical Code after an initial survey to an offshore	
	support vessel to which the OSV Chemical Code applies.	
	The certificate issued under the OSV Chemical Code should	
	have the same force and receive the same recognition as the	
	certificate issued under regulation 7 of MARPOL Annex II and	
	regulations VII/10 and VII/13 of SOLAS.	

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16	Diving systems	
16.1	Diving System Safety Certificate	Resolution A. 831(19),
	A certificate should be issued either by the Administration or	as amended by
	any person or organization duly authorized by it after survey	resolution MSC.185(79),
	or inspection to a diving system which complies with the	section 1.6
	requirements of the Code of Safety for Diving Systems. In	
	every case, the Administration should assume full	
	responsibility for the certificate.	
17	Passenger submersible craft	
17.1	Safety Compliance Certificate for Passenger Submersible	MSC/Circ.981, as
	Craft	amended by
	Applicable to submersible craft adapted to accommodate	MSC/Circ.1125
	passengers and intended for underwater excursions with the	
	pressure in the passenger compartment at or near one	
	atmosphere.	
	A Design and Construction Document issued by the	
	Administration should be attached to the Safety Compliance	
	Certificate.	
18	Dynamically supported craft	
18.1	Dynamically Supported Craft Construction and	DSC Code
	Equipment Certificate	(resolution A.373(X), as
	To be issued after survey carried out in accordance with	amended),
	paragraph 1.5.1(a) of the Code of Safety for Dynamically	section 1.6
	Supported Craft.	
19	Mobile offshore drilling units	1070 1/07/17
19.1	Mobile Offshore Drilling Unit Safety Certificate	1979 MODU Code
	To be issued after survey carried out in accordance with the	(resolution A.414(XI), as
	provisions of the Code for the Construction and Equipment of	amended),
	Mobile Offshore Drilling Units, 1979, or, for units constructed	section 1.6;
	on or after 1 May 1991, but before 1 January 2012, the Code	1989 MODU Code
	for the Construction and Equipment of Drilling Units, 1989, or	(resolution A.649(16), as
	for units constructed on or after 1 January 2012, the Code for	amended),
	the Construction and Equipment of Drilling Units, 2009.	section 1.6;
		2009 MODU Code
		(resolution A.1023(26),
		as amended),

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		section 1.6
20	Wing-in-ground (WIG) craft	
20.1	Wing-in-ground Craft Safety Certificate	MSC.1/Circ.1592
	A certificate called a WIG Craft Safety Certificate should be	
	issued after completion of an initial or renewal survey to a	
	craft, which complies with the provisions of the Guidelines for	
	wing-in-ground craft.	
20.2	Permit to Operate WIG Craft	MSC.1/Circ.1592
	A permit to operate should be issued by the Administration to	
	certify compliance with the provisions of the Guidelines for	
	wing-in-ground craft.	
21	Noise levels	
21.1	Noise survey report	Resolution A.468(XII),
	Applicable to existing ships to which SOLAS II-1/3-12 does	section 4.3
	not apply.	
	A noise survey report should be made for each ship in	
	accordance with the Code on Noise Levels on Board Ships.	