

TRANSPORT ISPS Review Team Port Facility Security Assessment (PFSA) Review Document

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Name of Port: _____ Date: _____ Reference: _____

Review of Assessments completed by: _____

Q No	Section of Code & Guidelines	Assessment Requirements	Compliant	Incomplete	Assessment Reference	Comments
GENERAL INFORMATION						
1.		Is the following provided:				
	-	a. Location				
	-	b. Size of port/port facility operation				
	-	c. Name of Company				
	-	d. Owners Name				
	-	e. Point of Contact name				

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	-	f. Date of assessment/survey				
		g. Does the documentation provided state what form of consultations took place in preparation for the assessment				
		h. Does it state who the Assessors consulted with in the Port and what position they held				
		.i. Did the Assessors provide documentation from all consultations that took place i.e. workshops, surveys, questionnaires etc. that were used when consulting with the port operators				
	-	j. Name of persons carrying out assessments				
	Part A 15.3	k. Appropriate skills and expertise of above persons				

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	Part B 15.4	l. With reference to section 15.4 of Part B does the assessment describe what expert assistance was drawn on?				
	-	m. Communication systems currently in place				
	-	n. List of access points to port facility				

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		Port Facility Security Officer (PFSO)				
2.	Part A 17	a. Has a PFSO being appointed/designated?				
	Part A 17	b. Has training being provided for PFSO? (If yes, have details of training been provided?)				
		Protection of Assessments/Plans				
3.	Part A 15.7, 16.6 and 16.7	Are measures in place for prevention of unauthorised access/disclosure/deletion of:				
		a. Electronic version				
		b. Hard copy				

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PORT FACILITY SECURITY ASSESSMENT						
4.	Part B 1.17	Does the assessment include the following components:				
		a. the determined perceived threat to port installations and infrastructure				
		b. the potential vulnerabilities identified				
		c. the consequences of incidents calculated				
5.	Part B 15.3	Does the assessment address the following items within the port facility:				
	Part B 15.3.1	a. Physical security				

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	Part B 15.3.2	b. Structural integrity				
	Part B 15.3.3	c. Personnel protection systems				
	Part B 15.3.4	d. Procedural policies (<i>States the role of proper procedures in preventing and mitigating security incidents</i>)				
	Part B 15.3.5	e. Radio and telecommunication systems, including computer systems and networks				
	Part B 15.3.6	f. Relevant transportation infrastructure (<i>describes the identification and evaluation of transportation infrastructure and assets</i>)				
	Part B 15.3.7	g. Utilities				

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	Part B 15.3.8	h. Other areas that may, if damaged or used for illicit observation, pose a risk to persons, property, or operations within the port facility				
		i. Other (please list)				
Part A 15.5.1 and Part B 15.5 - 15.8		IDENTIFICATION AND EVALUATION OF IMPORTANT ASSETS AND INFRASTRUCTURE IT IS IMPORTANT TO PROTECT				
6.		Does the identification/evaluation consider the following:				
	Part B 15.7.1	a. Accesses, entrances, approaches, and anchorages, manoeuvring and berthing areas				
	Part B 15.7.2	b. Cargo facilities, terminals, storage areas and cargo handling equipment				

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	Part B 15.7.3	c. Systems such as electrical distribution systems, radio and telecommunication systems and computer systems and networks				
	Part B 15.7.4	d. Port vessel traffic management systems and aids to navigation				
	Part B 15.7.5	e. power plants, cargo transfer piping and water supplies				
	Part B 15.7.6	f. bridges, railways, roads				
	Part B 15.7.7	g. port service vessels, including pilot boats, tugs, lighters etc				

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	Part B 15.7.8	h. security and surveillance equipment and systems				
	Part B 15.7.9	i. the waters adjacent to the port facility				
	Part B 15.7.10	j. Other (please list)				
	Part B 15.6	Prioritisation of the relative importance for protection of assets and infrastructure				
7.		a. Has the list of assets and infrastructure identified been prioritised in order of importance for protection				

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		b. Can the port facility, structure or installation continue to function without the asset				
		c. How quickly can normal functioning be re-established				
		Structures adjacent to Port Facilities				
8.	Part B 15.8	a. Were consultations with the relevant authorities relating to structures adjacent to the port facilities carried out				
		b. Was a list of the consultees provided				

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Part A 15.5.2 and Part B Section 15.9 - 15.12	IDENTIFICATION OF POSSIBLE THREATS TO THE ASSETS AND INFRASTRUCTURE AND THE LIKELIHOOD OF THEIR OCCURRENCE IN ORDER TO ESTABLISH AND PRIORITISE SECURITY MEASURES					
9.	Part B 15.10	Does the assessment determine the following:				
	Part B 15.10.1	a. Any particular aspects of the port facility, including the vessel traffic using the facility which make it likely to be the target of an attack				
	Part B 15.10.2	b. The likely consequences in terms of loss of life, damage to property, economic disruption, including disruption to transport systems, of an attack on, or at, the port facility				
	Part B 15.10.3	c. The capability and intent of those likely to mount such an attack				
	Part B 15.10.4	d. The possible type, or types, of attack				

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10.	Part B 15.11	Does the PFSA consider all possible threats ? Does it include the following threats:				
	Part B 15.11.1	a. Damage to, or destruction of, the port facility or of the ship, e.g. by explosive devices, arson, sabotage or vandalism				
	Part B 15.11.2	b. Hijacking or seizure of the ship or of persons on board				
	Part B 15.11.3	c. Tampering with cargo, essential ship equipment or systems or ship's stores				
	Part B 15.11.4	d. Unauthorised access or use including presence of stowaways				
	Part B 15.11.5	e. Smuggling weapons or equipment, including weapons of mass destruction				

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	Part B 15.11.6	f. Use of the ship to carry those intending to cause a security incident and their equipment				
	Part B 15.11.7	g. Use of the ship itself as a weapon or as a means to cause damage or destruction				
	Part B 15.11.8	h. Blockage; of port entrances, locks, approaches etc				
	Part B 15.11.9	i. Nuclear, radiological, biological and chemical attack				
	Part B 15.11.10	j. Other (please list)				

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Part A 15.5.3 and Part B 15.13 - 15.14 IDENTIFICATION, SELECTION AND PRIORITISATION OF COUNTER MEASURES AND PROCEDURAL CHANGES AND THEIR LEVEL OF EFFECTIVENESS IN REDUCING VULNERABILITY						
11.		Does the evaluation use information that includes the following:				
	Part B 15.14.1	a. Security surveys, inspections and audits				
	Part B 15.14.2	b. Consultation with port facility owners and operators, and owners/operators of adjacent structures if appropriate				
	Part B 15.14.3	c. Historical information on security incidents				

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	Part B 15.14.4	d. Operations within the port facility				
Part A 15.5.4 and Part B 15.15 15.16		IDENTIFICATION OF WEAKNESSES, INCLUDING HUMAN FACTORS IN THE INFRASTRUCTURE, POLICIES AND PROCEDURES				
12.	Part B 15.15	Do the assessors identify vulnerabilities in:				
		a. Physical structures				
		b. Personnel protection systems				

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		c. Processes				
		d. Other areas that may lead to a security incident				
13.	Part B 15.16	Does the identification of vulnerabilities include consideration of:				
	Part B 15.16.1	a. Waterside and shore-side access to the port facility and ships berthing at the facility				

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	Part B 15.16.2	b. Structural integrity of the piers, facilities, and associated structures				
	Part B 15.16.3	c. Existing security measures and procedures, including identification systems				
	Part B 15.16.4	d. Existing security measures and procedures relating to port services and utilities				
	Part B 15.16.5	e. Measures to protect radio and telecommunication equipment, port services and utilities, including computer systems and networks				

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	Part B 15.16.6	f. Adjacent areas that may be exploited during, or for, an attack				
	Part B 15.16.7	g. Existing agreements with private security companies providing waterside/shore-side security services				
	Part B 15.16.8	h. Any conflicting policies between safety and security measures and procedures				
	Part B 15.16.9	i. Any conflicting port facility and security duty assignments				

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	Part B 15.16.10	j. Any enforcement and personnel constraints				
	Part B 15.16.11	k. Any deficiencies identified during training drills				
	Part B 15.16.12	l. Any deficiencies identified during daily operation, following incidents or alerts, the report of security concerns, the exercise of control measures, audits etc.				
14.	XI-2 Reg 1.1.9	Has the security of ships at anchor in the port been examined and the approaches to the port or a location				

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15.		Has the PFSA clearly identified any weaknesses in port security in the context of weather, tidal or time of day (lighting) conditions				
16.		Have the possibilities for segregation of the port facility into security zones to facilitate more stringent controls in a given zone been examined				
17.		Have suggestions been given for least risk locations, examination of anchorages and security quarantine arrangements				
18.		Have references being made to other emergency/security/port plans				
19.		Have maps, photos, etc been provided				

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General Comments